compliance@colfinancial.com

From:

@sec.gov.ph (Market

Sent:

To: Subject: January 08, 2021 12:54 PM

prvs=16424105d8=compliance@colfinancial.com

Acknowledgement Notice Re: COL Financial_2020 Amended General Information

Sheet_8 January 2021

Dear Customer,

SUCCESSFULLY ACCEPTED

(subject to verification and review of form and content of the attached document)

This serves as acknowledgement confirmation. Please print and submit together with the hard copies of the document.

Note: If the email is received on a Saturday, Sunday or holiday (non-working day) the receipt date shall be on the next working day.

Thank you. SEC ICTD

AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION

GENERAL INSTRUCTIONS:

- 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
- 2. If no meeting is held, the corporation shall submit the GIS not later than January 30 of the pollowing year. However, should AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION, THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
 SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES.
- ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FALED.
- 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING

LAWS, RULES AND REGULATIONS				
	PLEASE PRINT LEGIBLY	-	*************	1938
CORPORATE NAME: COL FINANCI. BUSINESS/TRADE NAME;	DATE REGISTERED: 08/16/1999			
COL FINANCI	FISCAL YEAR END:			
SEC REGISTRATION NUMBER: A199	910065			December 31
DATE OF ANNUAL MEETING PER BY-LAWS:				COBPORATE TAX IDENTIFICATION NUMBER (TIN)
	e in March			203-523-208-000
ACTUAL DATE OF ANNUAL MEETING:				WEBSITE/URL ADDRESS:
	2, 2020			www.colfinancial.com
COMPLETE PRINCIPAL OFFICE ADDRESS:				E-MAIL ADDRESS:
24/F East Tower, PSE Centre, Exch	ange Road, Ortigas (Center, l	Pasig City	
COMPLETE BUSINESS ADDRESS;	FAX NUMBER:			
same	8634-6958			
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER:		SEC ACCRE	DITATION NUMBER (H):	TELEPHONE NUMBER(S):
SYCIP, GORRES, VELAYO & CO. Janeth T. Nofiez-Javier		Janeth 1	7-0012-FR-5 (Group A)/ T. Nunez-Javier 1328-AR-1	8636-5411
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY EN	GAGED IN:	INDUSTI	RY CLASSIFICATION:	GEOGRAPHICAL CODE:
Online Brokerage Services			STOCKBROKER	
= ====================================	TERCOMPANY AFFILIAT	ONS ===		
PARENT COMPANY	SEC REGISTRATIO	V NO.	AI	DDRESS
	SEC REGISTRATIO			
SUBSIDIARY/AFFILIATE	DRESS 4-26 Stanley St., Central			
COL SECURITIES (HK) LIMITED			но	NG KONG
COL CASH MANAGEMENT UNITIZED MUTUAL FUND INC.	CS201915032	R., EXCHANGE RD. ORTIGAS CTR. SIG CITY		
COL EQUITY INDEX UNITIZED MUTUAL FUND INC.	US201915047 PAS			R., EXCHANGE RD. ORTIGAS CTR. SIG CITY
COL INVESTMENT MANAGEMENT INC.				R., EXCHANGE RD. ORTIGAS CTR. SIG CITY
NOT	E: USE ADDITIONAL SHEET	IF NECESS	ARY	

Corporate Name: COL FINANCIAL GROUP, INC. A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as a mended? (Rep. Acts. 9160/9164/10167/10365) Please check the appropriate box: 1. a. Banks b. Offishore Banking Units c. Quast-Banks d. Trust Entities e. Non-Stock Savings and Loan Associations f. Pavanshops g. Foreign Exchage Dealers b. Mosey Changers l. Remittance Agents j. Electronic Money Issuers c. Insurance Companies b. Insurance Companies d. Insurance Companies d. Professional Reinsurers e. Reinsurance Agents f. Holding Company Systems h. Pre-need Companies h.		A FETTONE					
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A. Is the Corporation a covered person under the Anti Money Laundering Act							
A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Please check the appropriate box 1.		001					
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Pleases check the appropriate box			mg Acc	☑ YES ☐ NO			
1							
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C. Quast-Banks Dusiness, trade in precious metals							
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other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC) Describe registration as a mutual fund with the SEC business:							
regulated by the Securities and Exchange Commission (SEC) nature of business:	· · · · · · · · · · · · · · · · · · ·						
Dusiness:	• • • • • • • • • • • • • • • • • • • •	nature or					
	B. Has the Corporation complied with the requirements on Custon						
(CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?		nission of re	ports	☑ YES ☐ NO			

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GENERAL INFORMATION SHEET STOCK CORPORATION

CORPORATE	NAME:	COL FINANCIAL GRO	OUP, INC.					
			CAPITA	LSTRUCTURE				
AUTHORIZED C	APITAL STOC	K						
		TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PI (No. of shares X Par/S		
		Соштоп	10,000,000,000	0.10		1,000,000,000.00		
		TOTAL	10,000,000,000		TOTAL P	1,000,000,00	0.00	
SUBSCRIBED CA	PITAL		-					
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	number of Shares in the Hands of the Public **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	27	Common	3,461,815,950		0.10	346,181,595.00	72.73%	

		TOTAL	3,461,815,950	TOTAL	TOTAL P	346,181,595,00	72.73%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
Chinese	1	Common	1,000,000		0.10	100,000.00	9.02%	
Malaysian	1	Common	10,000		0.10	1,000,00	9,99%	
American	1	Common	10,000		0.10	1,000.00	0.00%	
Japanese Various	1	Common	10		0.10	1.00	0.00%	
Percentage of F	ovoien Fonite	Common TOTAL	1,297,164,040 1,298,184,050	TOTAL	0.10 TOTAL P	129,716,404.00	27.25%	
r creenage or r	oreign natury	TOJAL	1,270,107,030		TOTAL P SUBSCRIBED P		27.27% 100.00%	
PAID-UP CAP	TAL			2021		170,000,000.00	100.0070	
FILIPINO	NO. OF STOCK- HOLDERS	Type of Shares *	NUMBER OF SHARES	PAR/STAT	TED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	27	Common	3,461,815,950	0.10		346,181,595.00	72.73%	
		j Total	3,461,815,950		TOTAL P	346,181,595.00	72.73%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PhP)	% OF OWNERSHIP	
Chinese	1	Common	1,000,000		.10	100,000.00	0.02%	
Malaysian	1	Common	10,000	9.10		1,000.00	1	
American	11	Common	10,000				T	
lapanese		Common	10		.10	1.00	4	
Various	<u> </u>	Common	1,297,164,040		.10	129,716,404.00		
27.27 %		TOTAL	1,298,184,050		TOTAL I			
<u> </u>				TOTAL PAID-	UP P	476,000,000.00	100,00%	
		NOTE	E: USE ADDITION	IAL SHEET IF N	ECESSARY			
	ferred or othe							
** Other than D	irectors, Office	rs, Shareholders owning	10% of outstandi	ng shares.				

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY = CORPORATE NAME: COL FINANCIAL GROUP, INC. DIRECTORS / OFFICERS TAX IDENTIFICATION STOCK EXEC. NAME/CURRENT RESIDENTIAL ADDRESS NATIONALITY INC'R BOARD GENDER OFFICER HOLDER соми. MIMBER 1. EDWARD K.LEE Chairman of Y Filipino C M Y the Board 2. ALEXANDER C. YU Vice-C/C: Filipino Y М М Y N/M Chairman 3. CONRADO F, BATE Pres./Chief Filipino N M М ¥ Exec. Officer 4. CATHERINE L. ONG Y F ¥ Treasurer/ Filipino М C/M CFO 5. HERNAN G. LIM Filipino N M М Y A/M 6. RAYMOND C. YU Filipino N М Y M A/M 7. PAIH.WELL HAN N Chinese M M ¥ 8. WELLINGTON C. YU C/M; Filipino N М M Y A/M 9. ARTHUR GERRARD GINDAP Filipino N ì М Y N/C 10. KIDO HOKUSHIN N М M Y Iapanese 11. JUAN G. BARREDO VP/Asst. Y М Y Filipino Corp. Sec. VP/Chief 12. NIKOS J. BAUTISTA Filipino N М Y Technology Officer 13. LORENA E. VELARDE VP/ Financial Filipino N F Controller 14. APRIL LYNN L. TAN VP/Head of Filipíno N F Research 15. SHARON T. LIM Associated N/M Filipino N F Y Person/ Corp. Sec 16. STEPHANIE FAYE B. REYES Associated F Filipino N N Person/Asst Corp. Sec. 17. REAP. ORTEZA Associated Filipino N F N Person 18. NATHALIE M. GARCIA Associated Filipino N F N Person

INSTRUCTION

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,

SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

CORPORATE NAME;	COL FINANC	CIAL GROUP, INC.				
TOTAL NUMBER OF STOCKHOLDERS:	32		NO. OF STOCKHOLDER EACH:	IS WITH 100 O	R MORE SHARES	29
TOTAL ASSETS BASED ON LATEST AUDITI	ED FINANCIAL	STATEMENTS:	9,810,062,978.00		r	
A Marie Control		STOCKHOLDE	R'S INFORMATION			
A		. SHARES:	· ·			
NAME, NATIONALITY AND CURRENT ** RESIDENTIAL ADDRESS	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATIO NUMBER
1 PCD NOMINEE CORPORATION	Common	1,911,761,660	191,176,166.00	40.16%		
Filipino Alexañder C. Yu		346,982,250	34,698,225.00	g 2004	256 622 624 62	
Edward K. Lee		307,563,000		7.29%	256,630,691.00	*
,			, ,	6.46%		
2. PCD NOMINEE CORPORATION	TOTAL Common	2,566,306,910 905,986,290	256,630,691.00 90,598,629	53.91%		ş - A
Filipino	Common	203,200,230	• • • • • • • • • • • • • • • • • • • •	19.03%	•	
Paulweli Han		391,177,750	39,117,775.00	8.22%	129,716,404.00	
10 m	TOTAL	1,297,164,040		27,25%		
3 EDWARD K. LEE	Common	622,500,000	62,250,000.00		ं क्	4
Filipino						l
	.5			13.08%	62,250,000.00	
	TOTAL	622,500,000	62,250,000.00			
4 ALEXANDER C. YU	Common	200,000,000			3.	
Filipino , 🦠 **						1
				4.20%	20,000,000.00	
	TOTAL	200,000,000	20,000,000.00			
5 VALENTINA L. ANG	Common	50,000,000				
Filipino	Continon	30,000,000	3,000,000.00			
	*			1.05%	5,000,000.00	
		50,000,000	5,000,000.00	1		
6 LYDIA C. LEE	Common	10,000,000	<u> </u>			
Filipino		20,000,000	2,000,000,00	1		
		`.		0.21%	1,000,000.00	
	TOTAL	10,000,000	1,000,000.09	1		
7 JESSALYNN L TAN	Common	10,000,000	<u> </u>	1		4,
Filipino		10,000,000	2,000,000,00	0.21%	1,000,000.00	
	TOTAL	<u>ි</u>	1,090,000.00	- 1	1,000,000.00	
TOTAL AMO		RIBED CAPITAL	475,597,095.00	1		1
TOTAL AMO	OHA OF BUDD		AMOUNT OF PAID-UP		475,597,0	95.00

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach 'separate sheet, if necessary.

GENERAL INFORMATION SHEET STOCK CORPORATION

CORPORATE NAME: COL FINANCIAL GROUP, INC. NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES TOTAL NUMBER OF STOCKHOLDERS: TOTAL ASSETS BASED ON LATEST AUDITED FS: 9,810,062,978.00 STOCKHOLDER'S INFORMATION SHARES SUBSCRIBED TAX NAME, NATIONALITY AND CURRENT **AMOUNT PAID** IDENTIFCATION AMOUNT % OF **RESIDENTIAL ADDRESS** (PhP) TYPE NUMBER NUMBER (PhP) OWNER-SHIP 8. HERNAN G. LIM 1.000.000 100,000.00 Common Filipino 0.02% 100,000.00 TOTAL 1,000,000 100,000.00 9. RAYMOND C. YU Common 1,000,000 100,000.00 Filipino 0.02% 100,000,00 TOTAL 1,000,000 100,000.00 10. PAULWELL HAN 1,000,000 100,000.00 Common Chinese 0.02% 100,000.00 TOTAL 1,000,000 100,000.00 11. CATHERINE L. ONG Common 500,000 50,000.00 Filipino 0.01% 50,000.00 TOTAL 500,000 50,000.00 12. SERAFIN P. BARRETTO, JR. 120,000 12,000.00 Common Filipino 0.00% 12,000.00 12,000.00 TOTAL 120,000 13. MANUELS ESTACION Common 100,000 10,000.00 Filipino 0.00% 10,000.00 100,000 TOTAL 10,000.00 14. WELLINGTON C. YU OR VICTORIA O. YU 100,000 10,000.00 Common 0.00% 10,000.00 100,000 TOTAL 10,000.00 TOTAL AMOUNT OF SUBSCRIBED CAPITAL 0.08% 382,000.00 382,000.00 TOTAL AMOUNT OF PAID-UP CAPITAL

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate farther the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET STOCK CORPORATION

CORPORATE NAME:	COL FINAI	ICIAL GROUP, INC.	ı					
TOTAL NUMBER OF STOCKHOLDERS:	32 NO. OF STOCKHOLDERS WITH 100 OR MORE 29 SHARES EACH:							
TOTAL ASSETS BASED ON LATEST AUDITED FS:	9,810,062	,978.00						
		TOCKHOLDER'S I	NFORMATION					
		SHARES S	UBSCRIBED					
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER		
15. SERANDO FILIO	Common	50,000	5,000.00					
Filipino				0.00%	5,000.00			
					3,000.00			
16. ROSARIO GARA	TOTAL	50,000	5,000,00			<u> </u>		
Filipino	Common	50,000	5,000,00					
rmpino	 			0.00%	5,000,00			
	TOTAL	50,000	5,000,00					
17. MYRA P. VILLANUEVA	Common	50,000	5,000,00			1		
Filipino		1		0.00%	5,000.00			
				0.0070	5,040.00			
	TOTAL	50,900	5,900.90					
18. CAESAR A. GUERZON	Common	10,000	1,000.00	1				
Filipino	\vdash			0.00%	1,000.00			
	TOTAL			0.00%	1,000.00	-		
	IOIAL	10,000	1,000,00					
19. KHOO BOO BOON	Common	10,000	1,000.00					
Malaysia n				0,00%	1,000.00			
				0,0070	2000.00			
DO THEN DOUG CORPORATION	TOTAL	10,000	1,000.00			<u> </u>		
20. HAPI ILOILO CORPORATION Filipino	Common	10,000	1,000.00					
гирие				0.00%	1,000.00			
	TOTAL	10,000	1,000.00					
21. OTHERS (12)	Common	29,050	2,905,00					
]				
				0.00%	2,905.00			
				*****	2,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
	TOTAL	29,050	2,905.00					
TOTAL AMOUNT O	SUBSCRIE		20,905.00		20	0,905.00		
		TOTAL AMO	OUNT OF PAID-UP CA	PITAL				

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

		PLE	ASE PRINT LEGIE	LY			
CORPORATE NAME:	COL FINANCIAL GROUP,	INC.					
1. INVESTMENT OF COR	PORATE		AMOUNT	(Phr	n 1	DATEO	BOARD RESOLUTION
FUNDS IN ANOTHER C			AMOUNI	fr m	'	<i>U</i> AIB VI	. PRUIT WINGER HAM
1.1 STOCKS			287,300,0	ገበለ ላ	,		
4.0. 7017722405			207,300,0	,,,,,,	·		
1.2 BONDS/COMMER by Private Corpo							
1.3 LOANS/ CREDITS	S/ ADVANCES						
<u> </u>			····				
1.4 GOVERNMENT TI	REASURY BILLS					•	
1.5 OTHERS							
2. INVESTMENT OF COR	PORATE FUNDS IN ACTIV	/ITIES U	NDER ITS	DAT	E OF BOARD RES	OLUTION	DATE OF
SECONDARY PURPOSE	ES (PLEASE SPECIFY:)			*19*10*410			STOCKHOLDERS RATIFICATION
3. TREASURY SHARES					NO. OF SHAR	DC.	% AS TO THE TOTAL
					NV, UF SHAK	E-J	NO. OF SHARES ISSUED
A SINDECTOICED ANIA		25 27 A 254	MAC AC ADTITUTE		A COST BY COVER A STOR A .	n. nt70 4	726 566 00
4. UNRESTRICTED/UNA					AST FISCAL YEA	к: Рар734,	230,566,00
5. DIVIDENDS DECLARE		ALLY PI	Geleving Yeai				
ТҮРЕ	OF DIVIDEND			AMO	UNT (PhP)		DATE DECLARED
5.1 CASH			Regular: P0.1	.8/sh	are; Special P0.5	2/share	April 30, 2020
5.2 STOCK							
5.3 PROPERTY							
		OTAL	Php0.70 per	shar	e		•
6. ADDITIONAL SHARES							
DATE	NO. OF S	HARES		AMOUNT			
				-			
				\vdash			<u> </u>
SECONDARY LICENSE/RE	EGISTRATION WITH SEC	AND OT	HER GOV'T AG	ENCY	•		····
NAME OF AGENCY:	SEC			BS			IC
TYPE OF	Broker/Dealer in Secu						
LICENSE/REGN.	Mutual Fund Distrib	itor					
DATE ISSUED:	15-Nov-19						
DATE STARTED OPERATIONS:	01-Jan-01						
TOTAL ANNUAL CO DIRECTORS DURING T YEAR (i	HE PRECEDING FISCAL	TOTAI	. NO. OF OFFIC	ers	TOTAL NO. OF FILE EMPLO		TOTAL MANPOWER COMPLEMENT
1,750,0	1,750,000.00 10		10	130			140

NOTE: U	SE ADDITIONAL	SHEET IF NEC	PSSAR

I, <u>Sharon T. Lim</u>, Corporate Secretary of <u>COL Financial Group. Inc.</u> declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this 8th day of January, 2021 in Pasig City.

SHARON T. LIM

(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in <u>Pasig City</u> on <u>8 January 2021</u> by affiant who personally appeared before me and exhibited to me her competent evidence of identity consisting of <u>Passport No.</u>

issued at

Lon S

ATTY STEPHANIE FAYE B. REYES
For the Cities of Pasig, San Juan
and Municipality of Pateros
Expiring on 31 December 2020
Appointment No. 61 (2019-2020) Pasig City
Roll No. 64239
PTR No. 5242827/01.05.21/Pasig City
IBP LRN 13768/RSM

MCLE Compliance No. VI-0014740/11.13.18 2703C East Tower PSE Centre, Exchange Rd., Ontigas Center, Pasig City

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